

# COMPLIANCE RESOURCES CITED IN *FINANCIAL BLOGGING*

Updated February 2022

This is an updated list of links that appeared in [\*Financial Blogging: How to Write Powerful Posts That Attract Clients\*](#). Some resources that appeared in the book no longer exist. I have added some new resources.

| Name                                                                | Description                                                                                                                                                     | Twitter tag                                                      | Blog                                                                                                                                                                 | Website                                                                            |
|---------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------|
| <b>Abromovitz, Les</b>                                              | Offers compliant content services for websites and advertisements                                                                                               | none                                                             | none                                                                                                                                                                 | <a href="http://riacomplianceguy.com">riacomplianceguy.com</a>                     |
| <b>Actiance</b><br>(taken over by Smarsh)                           | Enables organizations to leverage the safe and productive use of unified communications, collaboration and Web 2.0, including blogs and social networking sites | See Smarsh entry below                                           | See Smarsh entry below                                                                                                                                               | See Smarsh entry below                                                             |
| <b>Belbey, Joanna</b>                                               | Counsels regulated firms on using social media effectively while complying with industry rules and regulations                                                  | <a href="https://twitter.com/belbey">@belbey</a>                 | <a href="http://forbes.com/sites/joannabelbey/">forbes.com/sites/joannabelbey/</a><br>Note: these sites are not being updated because Joanna Belbey has passed away. | <a href="http://forbes.com/sites/joannabelbey/">forbes.com/sites/joannabelbey/</a> |
| <b>Compliance Building</b>                                          | Collects information on compliance issues applicable to real estate private equity firms                                                                        | <a href="https://twitter.com/DougCornelius">@DougCornelius</a>   | <a href="http://compliancebuilding.com">compliancebuilding.com</a>                                                                                                   | <a href="http://compliancebuilding.com">compliancebuilding.com</a>                 |
| <b>Erado</b>                                                        | Offers social media compliance.                                                                                                                                 | <a href="https://twitter.com/Erado">@Erado</a>                   | <a href="http://erado.com/news-blog/">erado.com/news-blog/</a>                                                                                                       | <a href="http://erado.com">erado.com</a>                                           |
| <b>FP Pad</b>                                                       | Offers technology insights in the financial planning community                                                                                                  | <a href="https://twitter.com/BillWinterberg">@BillWinterberg</a> | <a href="http://fppad.com/blog/">fppad.com/blog/</a>                                                                                                                 | <a href="http://fppad.com">fppad.com</a>                                           |
| <b>Global Relay</b>                                                 | Message archiving vendor in FINRA's Compliance Resource Provider Program                                                                                        | <a href="https://twitter.com/globalRelay">@globalRelay</a>       | none                                                                                                                                                                 | <a href="http://globalrelay.com">globalrelay.com</a>                               |
| <b>Hill Advisors</b>                                                | Comprehensive compliance services and solutions for the financial professional who is a registered investment advisor (RIA)                                     | <a href="https://twitter.com/CindiHill">@CindiHill</a>           | <a href="http://hilladvisors.wordpress.com">hilladvisors.wordpress.com</a>                                                                                           | <a href="http://hilladvisors.com">hilladvisors.com</a>                             |
| <b>Lininger, Nancy</b> —<br>business continues under new leadership | The Consortium offers comprehensive services and solutions to Registered Investment Advisors ("RIAs) and Broker/Dealers ("BDs").                                | none                                                             | none                                                                                                                                                                 | <a href="http://liftburden.com">liftburden.com</a>                                 |

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|-----------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|----------------------------------------------------------------------------------------------------|------------------------------------------------------|
| <b>PageFreezer</b>                                        | Web-based service that archives your website daily, makes your archives accessible from any computer, and enables use as legal evidence in case of a claim. | <a href="#">@PageFreezer</a>    | <a href="http://blog.pagefreezer.com">blog.pagefreezer.com</a>                                     | <a href="http://pagefreezer.com">pagefreezer.com</a> |
| <b>QuonWarrene</b>                                        | Advises on technology and operations                                                                                                                        | <a href="#">@QuonWarrene</a>    | <a href="http://quonwarrene.com/blog/">quonwarrene.com/blog/</a><br>The blog is not being updated. | <a href="http://quonwarrene.com">quonwarrene.com</a> |
| <b>RegEd</b>                                              | Offers social media archiving and surveillance                                                                                                              | <a href="#">@RegEdArkovi</a>    | none                                                                                               | <a href="http://reged.com">reged.com</a>             |
| <b>SEC Law</b>                                            | News and commentary on the law of the financial markets                                                                                                     | <a href="#">@astarita</a>       | <a href="http://seclaw.blogspot.com">seclaw.blogspot.com</a>                                       | <a href="http://seclaw.com">seclaw.com</a>           |
| <b>Smarsh</b>                                             | Offers email solutions, message archiving, email hosting, and website production and hosting                                                                | <a href="#">@SmashInc</a>       | <a href="http://smash.com/blog">smash.com/blog</a>                                                 | <a href="http://smash.com">smash.com</a>             |
| <b>Socialware,</b><br>now a part of<br>Proofpoint Nexgate | Offers platform services for social business management                                                                                                     | <a href="#">@Proofpoint_DRD</a> | <a href="http://proofpoint.com/us/corporate-blog">proofpoint.com/us/corporate-<br/>blog</a>        | <a href="http://proofpoint.com">proofpoint.com</a>   |

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You may:

- Be too busy to write white papers or investment commentary yourself
- Lack the training to turn your ideas into persuasive writing
- Wish to train your staff to write better blog posts, emails, investment commentary, or other content
- Feel frustrated by generalists or inexperienced writers who don't understand your audience or topic

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I work with clients to:

1. Write or edit [white papers](#) or [investment commentary](#) based on interviews with your experts and materials provided by you
2. **Train your employees or professional society members** to write better blog posts, [emails](#), [investment commentary](#) or other materials in presentations that are one to three hours long
3. **[Coach individuals](#)**

If you'd like my help writing or editing your white paper or investment commentary—or training financial professionals to write better—I can't wait to learn more. Please [contact me](#).